### JC06 Rec'd PGT/PTO 12 MAY 2005

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 3122 US0P DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO (If known **CER 1.5)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY GATE CLAIMED PCT/JP2003/014622 November 18, 2003 November 19, 2002

INTERNATIONAL APPLICATION NO. TITLE OF INVENTION INDOLE DERIVATIVES AS SOMATOSTATIN AGONISTS OR ANTAGONISTS APPLICANT(S) FOR DO/EO/US Hidenori ABE; Shinichiro MATSUNAGA; Shiro TAKEKAWA; Masanori WATANABE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31).  $\overline{\mathbf{v}}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. V An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 1 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Cited References 12. V An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Return Postcard; Certificate of Express Mailing; PCT Request; Forms PCT/IB/301,304, 306,308; ISR; IPER; Cover Page International Other items or information: Application; Copy of request to correct title of invention and typographical errors in Abstract 20.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

# JC06 Rec'd PCT/PTO 12 MAY 2005

. PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICAT	FION NO. (if known, see	37 CFR 1.5)	INTERNATIONAL APPL	LICATION NO.	ATTORNEY'S DOC	KET NUMBER
1	0/534/	25	PCT/JP2003/0146	522	3122 US0P	
21. The following fees are submitted:						
a) Basic na	ational fee	\$				
b) Examination fee\$200.00					\$	
C) Search fee\$500.00					\$	
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00	1
			in paper over 100 sheets (exceptionic medium). The fee is \$25			
	0 sheets of paper or fra		stone mediani,. The lee is <b>42</b>			
Total Sheets	tal Sheets Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)			
473 - 100 =	373 /50 =	8		× \$250.00	\$ 2,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
CLAIMS NUMBER FIL		<del></del>	LED NUMBER EXTRA RATE		\$	l
Total claims		- 20 =		x \$50.00	: 	7*
	- 20	-3=	5	x \$200.00	200.00	
Independent claims 2						
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00					\$	
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced					\$ 3,250.00 \$	
by ½.						
SUBTOTAL =					\$ 3,250.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$ 3,250.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 3,290.00	
TOTAL FEES ENCLOSED =					\$ 3,290.00	
		<del></del>			Amount to be refunded:	\$
					Amount to be charged:	\$ 3,290.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 500799 in the amount of \$ 3,290.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 500799 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
	n appropriate time lim		FR 1.495 has not been met, a	petition to revive	(37 C5R 27(3)(a) or (b))	must be filed
		Applicatio	ii to pending status.	_ //	// (/	$\overline{}$
SEND ALL CORRESPONDENCE TO:  Mark Chao, Ph.D., JD						
Takeda Pharmaceuticals North America, Inc SIGNATURE						
					hao, Ph.D., JD	
Lincolnshire, IL 60069 USA NAME						
·		,293				
(847)383-3372 fax (847)383-3409 REGISTRATIO						<del></del>
For Custom						

FORM PTO-1390 (REV. 12-2004)

Page 2 of 2

### 10/534725 JC06 Rec'd PCT/PTO 12 MAY 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

Based on PCT/JP2003/014662

Art Unit:

Filed:

Intl. Filing 18 NOVEMBER 2003

1<sup>st</sup> Inventor:

Hidenori ABE

Allowed:

For:

Indole Derivatives as Somatostatin Agonists or

**Antagonists** 

Atty. Dkt. No.

3122 US0P

#### **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

#### USPS EXPRESS MAIL LABEL. No. EV 524904361 US

DATE IN: May 12, 2005

### **Itemized Papers/Items:**

- 1. This Postcard and Certificate of Express Mailing (2 pages)
- 2. Transmittal Letter for Filing under 35 USC 371 (2 pages X 2)
- 3. Specification total pages 473, including Claims 1-28 (pages 466-472, and Abstract (1 page)
- 4. PCT Request (5 pages)
- 5. Declaration and Power of Attorney (4 pages)
- 6. Copies of Forms PCT/IB/ 301,304, 306,308 (6 pages)
- 7. Copy of Cover Page International Application (WO 2004/046107) (1 page)
- 8. Copy of request to correct title of invention and typographical errors in abstract.
- 9. Copy of International Search Report (10 pages)
- 10. Copy of International Preliminary Examination Report (5 pages)
- 11. Preliminary Amendment (10 pages)
- 12. Executed Assignment (2 pages) and Recordation Cover Sheet (1 page X 2)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

> MAIL STOP PCT P.O. BOX 1450

Commissioner for Patents Alexandria, VA 22313-1450

on this date, 5/12/05

Takeda Pharmaceuticals North America, Inc. Intellectual Property Department 475 Half Day Road Lincolnshire, IL 60069 USA